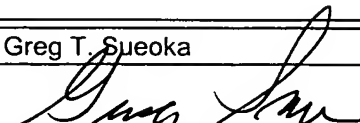


| NEW UTILITY<br>PATENT APPLICATION<br>TRANSMITTAL<br><small>(only for new nonprovisional applications under<br/>37 CFR 1.53(b))</small>   |  | Attorney Docket Number  | 20412-08456                          |
|--|--|---|--------------------------------------|
|  |  | First Named Inventor  | Peter E. Hart                        |
|  |  | Title   | User Interface For Networked Printer |
|  |  | Express Mail Label No.  | EV 442673661 US                      |
| <b>APPLICATION ELEMENTS</b>  |  | <b>ACCOMPANYING APPLICATION PARTS</b>   |                                      |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)   |  | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |                                      |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27   |  | 8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority<br>is claimed)  |                                      |
| 3. <input checked="" type="checkbox"/> Specification <span style="float:right">Total Pages <input type="text" value="36"/></span><br><small>(preferred arrangement set forth below)</small>  |  | 9. <input type="checkbox"/> Power of Attorney or Authorization of Agent   |                                      |
| ■ Descriptive Title of the Invention   |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement   |                                      |
| ■ Cross Reference(s) to Related Case(s)  |  | 11. <input type="checkbox"/> Preliminary Amendment  |                                      |
| ■ Statement Regarding Fed sponsored R & D  |  | 12. <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A<br><input type="checkbox"/> Copies of IDS Citation(s)                              |                                      |
| ■ Background of the Invention  |  | 13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form<br>PTO/SB/35 or its equivalent |                                      |
| ■ Brief Summary of the Invention   |  | 14. <input checked="" type="checkbox"/> Return Postcard   |                                      |
| ■ Brief Description of the Drawing(s)  |  | 15. <input type="checkbox"/>  |                                      |
| ■ Detailed Description   |  | 16. <input type="checkbox"/>  |                                      |
| ■ Claim or Claims  |  | 17. <input type="checkbox"/>  |                                      |
| ■ Abstract of the Disclosure   |  |   |                                      |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float:right">Total Sheets <input type="text" value="9"/></span>   |  |   |                                      |
| 5. Oath or Declaration and Power of Attorney   |  |   |                                      |
| a. <input checked="" type="checkbox"/> New Declaration <span style="float:right">Total Pages <input type="text" value="3"/></span>   |  |   |                                      |
| <input checked="" type="checkbox"/> Executed (original or copy)  |  |   |                                      |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><small>(for continuation/divisional with Box 18 completed)</small>   |  |   |                                      |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br><small>Signed statement attached deleting inventor(s)<br/>named in the prior application, see 37 CFR<br/>1.63(d)(2) and 1.33(b).</small>  |  |   |                                      |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |  |   |                                      |
|  |  | <b>ADDRESS TO:</b><br><br>Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450                              |                                      |
| 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the<br>specification following the title, or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation, <input type="checkbox"/> Divisional, <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: ____/____<br><br>Prior application information: Examiner: _____ Group/Art Unit: _____<br><b>For CONTINUATION OR DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under<br>Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The<br>incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |  |   |                                      |
| <b>19. CORRESPONDENCE ADDRESS</b>  |  |   |                                      |
| <input checked="" type="checkbox"/> Customer Number <span style="float:right">00758</span>   |  |   |                                      |
| Name (Print/Type)  |  | Greg T. Sueoka  |                                      |
| Registration No. (Attorney/Agent)  |  | 33,800  |                                      |
| Signature  |  | Date  |                                      |
|   |  | March 30, 2004  |                                      |

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 2972

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | Unassigned     |
| Filing Date          | March 30, 2004 |
| First Named Inventor | Peter E. Hart  |
| Examiner Name        | Unassigned     |
| Art Unit             | Unassigned     |
| Attorney Docket No.  | 20412-08456    |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001     | 770      | 2001     | 385      | Utility filing fee     | 770      |
| 1002     | 340      | 2002     | 170      | Design filing fee      |          |
| 1003     | 530      | 2003     | 265      | Plant filing fee       |          |
| 1004     | 770      | 2004     | 385      | Reissue filing fee     |          |
| 1005     | 160      | 2005     | 80       | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** 770

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 124                | -20** = 104  | 18             | 1872     |
| Independent Claims | 2            | -3** = 0       | 0        |
| Multiple Dependent |              | 290            | 290      |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description   |
|----------|----------|----------|----------|---|
| 1202     | 18       | 2202     | 9        | Claims in excess of 20                                    |
| 1201     | 86       | 2201     | 43       | Independent claims in excess of 3                         |
| 1203     | 290      | 2203     | 145      | Multiple dependent claim, if not paid                     |
| 1204     | 86       | 2204     | 43       | **Reissue independent claims over original patent         |
| 1205     | 18       | 2205     | 9        | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)** 2162

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252     | 420      | 2252     | 210      | Extension for reply within second month                                    |          |
| 1253     | 950      | 2253     | 475      | Extension for reply within third month                                     |          |
| 1254     | 1,480    | 2254     | 740      | Extension for reply within fourth month                                    |          |
| 1255     | 2,010    | 2255     | 1,005    | Extension for reply within fifth month                                     |          |
| 1401     | 330      | 2401     | 165      | Notice of Appeal   |          |
| 1402     | 330      | 2402     | 165      | Filing a brief in support of an appeal                                     |          |
| 1403     | 290      | 2403     | 145      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 110      | 2452     | 55       | Petition to revive - unavoidable   |          |
| 1453     | 1,330    | 2453     | 665      | Petition to revive - unintentional   |          |
| 1501     | 1,330    | 2501     | 665      | Utility issue fee (or reissue)   |          |
| 1502     | 480      | 2502     | 240      | Design issue fee   |          |
| 1503     | 640      | 2503     | 320      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(g)  |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) | 40       |
| 1809     | 770      | 2809     | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810     | 770      | 2810     | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801     | 770      | 2801     | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$)** 40

## SUBMITTED BY

Name (Print/Type)

Greg T. Sueoka

Registration No. (Attorney/Agent)

33,800

Complete (if applicable)

Telephone (650) 335-7194

Signature

*Greg T. Sueoka*

Date

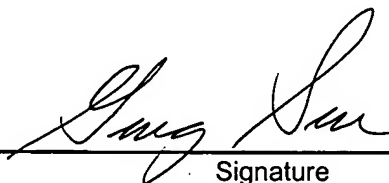
March 30, 2004

EXPRESS MAIL NO.

|  |                      |                                      |                             |
|--|----------------------|--------------------------------------|-----------------------------|
| <b>REQUEST AND CERTIFICATION<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> | First Named Inventor |                                      | Peter E. Hart <i>et al.</i> |
|  | Title                | USER INTERFACE FOR NETWORKED PRINTER |                             |
|  | Atty. Docket Number  |                                      | 20412-08456                 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 30, 2004  
Date

  
Signature

Greg T. Sueoka, Reg. No. 33,800  
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PGPub, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.